



Get benefit of the event and don't create it

In an endeavor to spread and enrich investment awareness among investors, particularly regarding the specific provisions of the Market Conduct Regulations , CMA would like to illustrate in the following chart below some of the acts which are considered to be manipulative or deceptive acts or practices when committed for the purpose of creating a false or misleading impression of trading activity in a security or interest in the purchase or sale of the security, or for the purpose of creating an artificial bid price, ask price or trade price for a security

Entering orders by investors for the purchase or sale of a security with the prior knowledge that an orders of substantially the same size, time and price

Entering an order or orders in order to establish a predetermined sale price, ask price or bid price

Entering an order or orders in order to effect a high or low closing sale price, ask price or bid price

Entering an order or orders in order to maintain the sale price, ask price or bid price within a predetermined range

Entering an order or orders for a security that are not intended to be executed

Important notice:

The acts and practices described above are examples of violations. Therefore, – it is vital for investors to read and look at the Capital Market Law as well as its Implementing Regulations particularly the Market Conduct Regulations so as to avoid committing violations and to protect themselves and to enhance their investment awareness .

